

UPL LIMITED

SHAREHOLDING PATTERN AS ON 31.12.2013

(I) (a) Statement showing Shareholding Pattern

BSE SCRIP CODE : 512070		Name of Scrip : UPL Ltd		Class of Security : Equity		Quarter Ended : 31.12.2013		
NSE SYMBOL : UPL								
Category Code	Partly paid up shares			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	Total			0	0.00	0.00		
	Outstanding Convertible Securities			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	Total			0	0.00	0.00		
	Warrants			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	Total			0	0.00	0.00		
Total conversion of warrants and convertible securities								
Category Code	Category of Shareholders	Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals/H.U.F	10	3782619	3782619	0.86	0.85	0	0.00
(b)	Central/State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	123970160	123970160	28.03	28.01	26950000	21.74
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	12	127752779	127752779	28.89	28.86	26950000	21.10
(2)	Foreign							
(a)	Non Resident Individuals/ Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Qualified Foreign Investor							
(e)	Any Other (specify)							
	Sub-Total (A)(2)							
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	12	127752779	127752779	28.89	28.86	26950000	21.10

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NSE SYMBOL : UPL								
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(B) Public Shareholding3								
(1) Institutions								
(a)	Mutual Fund/UTI	63	32979302	32979302	7.46	7.45		
(b)	Financial Institutions/Banks	11	134052	134052	0.03	0.03		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds							
(e)	Insurance Companies	8	7176301	7176301	1.62	1.62		
(f)	Foreign Institutional Investors	285	198861322	198819822	44.97	44.93		
(g)	Foreign Venture Cap. Inv							
(h)	Qualified Foreign Investor							
(i)	Any Other (specify)							
Sub-Total (B)(1)		367	239150977	239109477	54.08	54.03	N . A.	N . A.
(2) Non Institutions								
(a)	Bodies Corporate	1486	30337727	30206132	6.86	6.85		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	57324	30387804	25128789	6.87	6.87		
	ii) Holding nominal share capital in excess of Rs. 1 lakh.	46	6703249	6438969	1.52	1.51		
(c)	Qualified Foreign Investor							
(d)	Any Other(specify)							
	Overseas Corporate Bodies	4	6740	0	0.00	0.00		
	Non Resident Individuals	2234	6110492	3588517	1.38	1.38		
	Foreign Bodies	3	1783786	1783786	0.40	0.40		
Sub-Total (B)(2)		61097	75329798	67146193	17.03	17.02	N . A.	N . A.
Total Public shareholding (B)=(B)(1)+(B)(2)		61464	314480775	306255670	71.11	71.05		
TOTAL (A)+(B)		61476	442233554	434008449	100.00	99.92	26950000	6.09
(C) Shares held by Custodians and against which Depository Receipts have been issued								
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	3	370720	362720	0.08	0.08	N . A.	N . A.
GRAND TOTAL (A)+(B)+(C)		61479	442604274	434371169		100.00	26950000	6.09

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(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of shares held		Encumbered shares (*)			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I) (a)	No. of warrants held	As a % total No. of warrants of the same class	No. of Convertible securities held	As a % total No. of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	NERKA CHEMICALS PVT LTD	98633100	22.28	11775000	11.94	2.66	0	0	0	0	22.28
2	UNIPHOS ENTERPRISES LIMITED	25337060	5.72	15175000	59.89	3.43	0	0	0	0	5.72
3	SHILPA R SHROFF	1469499	0.33								0.33
4	SHILPA SHROFF	1437780	0.32								0.32
5	JYOTSNA J BHATT	448750	0.10								0.10
6	JYOTINDRA MANSHANKAR BHATT	393580	0.09								0.09
7	VARUN JAIDEV SHROFF	14000	0.00								0.00
8	TANIA JAIDEV SHROFF	6000	0.00								0.00
9	PRADIP N SAGAR	5930	0.00								0.00
10	SHAILA SHASHIKUMAR SHROFF	4080	0.00								0.00
11	MEKHALA VIKRAM SHROFF	2000	0.00								0.00
12	SHAILA SHASHIKUMAR SHROFF	1000	0.00								0.00
	Total	127752779	28.86	26950000	21.10	6.09	0	0	0	0	28.86

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

(l) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (I) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and conv. Securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
1	GOLDMAN SACHS (SINGAPORE) PTE	15550175	3.51					3.51
2	HDFC STANDARD LIFE INSURANCE CO LTD	10666303	2.41					2.41
3	ICICI PRUDENTIAL LIFE INSURANCE CO LTD	7660686	1.73					1.73
4	RELIANCE CAPITAL TRUSTEE CO LTD	7214444	1.63					1.63
5	INVESCO PERPETUAL GLOBAL EQUITY FUND	7117203	1.61					1.61
6	MORGAN STANLEY ASIA (SINGAPORE) PTE	6705278	1.51					1.51
7	MACQUARIE FUND SOLUTIONS	5987224	1.35					1.35
8	CITIBANK INTERNATIONAL PLC AS DEPOSITORY	5829076	1.32					1.32
9	LIC OF INDIA MARKET PLUS-1 GROWTH FUND	5219627	1.18					1.18
	TOTAL	71950016	16.26					16.26

(l) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (I) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
	NIL							

(l) (d) Statement showing details of locked-in shares

Sr.No.	Name of the shareholder	Category of shareholders Promoters / Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (l)(a) above}
	NIL			

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(II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				Quarter ended : 31.12.2013
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
1	GDR	37072	370720	0.08

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(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "**promoter/promoter group**" are in excess of 1% of the total number of shares

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				Quarter ended : 31.12.2013
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
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