

UPL LIMITED
SHAREHOLDING PATTERN AS ON 30.06.2014

(I) (a) Statement showing Shareholding Pattern

BSE SCRIP CODE : 512070		Name of Scrip : UPL Ltd		Class of Security : Equity		As on : 30.06.2014		
NSE SYMBOL : UPL								
Category Code	Partly paid up shares			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	Total			0	0.00	0.00		
	Outstanding Convertible Securities			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	Total			0	0.00	0.00		
	Warrants			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	Total			0	0.00	0.00		
Total conversion of warrants and convertible securities								
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
(1)	Indian							
(a)	Individuals/H.U.F	10	3758181	3758181	0.88	0.88	0	0.00
(b)	Cental/State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	123970160	123970160	28.94	28.92	14565000	11.75
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	12	127728341	127728341	29.82	29.80	14565000	11.40
(2)	Foreign							
(a)	Non Resident Individuals/ Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Qualified Foreign Investor							
(e)	Any Other (specify)							
	Sub_Total (A)(2)							
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	12	127728341	127728341	29.82	29.80	14565000	11.40

BSE SCRIP CODE : 512070		Name of Scrip : UPL Ltd		Class of Security : Equity		As on : 30.06.2014		
NSE SYMBOL : UPL								
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(B) Public Shareholding³								
(1) Institutions								
(a)	Mutual Fund/UTI	68	28216665	28216665	6.59	6.58		
(b)	Financial Institutions/Banks	12	59231	59231	0.01	0.01		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds							
(e)	Insurance Companies	9	2064769	2064769	0.48	0.48		
(f)	Foreign Institutional Investors	345	208249453	208207953	48.62	48.59		
(g)	Foreign Venture Cap. Inv							
(h)	Qualified Foreign Investor							
(i)	Any Other (specify)							
Sub-Total (B)(1)		434	238590118	238548618	55.71	55.67	N . A.	N . A.
(2) Non Institutions								
(a)	Bodies Corporate	1320	23912768	23796673	5.58	5.58		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	49440	26632042	21538087	6.22	6.21		
	ii) Holding nominal share capital in excess of Rs. 1 lakh.	39	5577201	5365201	1.30	1.30		
(c)	Qualified Foreign Investor							
(d)	Any Other(specify)							
	Overseas Corporate Bodies	4	6740	0	0.00	0.00		
	Non Resident Individuals	2133	5727076	3302561	1.34	1.34		
	Foreign Bodies	3	122868	122868	0.03	0.03		
Sub-Total (B)(2)		52939	61978695	54125390	14.47	14.46	N . A.	N . A.
Total Public shareholding (B)=(B)(1)+(B)(2)		53373	300568813	292674008	70.18	70.13		
TOTAL (A)+(B)		53385	428297154	420402349	100.00	99.93	14565000	3.40
(C) Shares held by Custodians and against which Depository Receipts have been issued								
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	3	307120	299120	0.07	0.07	N . A.	N . A.
GRAND TOTAL (A)+(B)+(C)		53388	428604274	420701469		100.00	14565000	3.40

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(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.No.	Name of the shareholder	Details of shares held		Encumbered shares (*)			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No. of shares held	As a % of grand total (A)+(B)+(C)	Number	As a Percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I) (a)	No. of warrants held	As a % total No. of warrants of the same class	No. of Convertible securities held	As a % total No. of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	NERKA CHEMICALS PVT LTD	98633100	23.01	12565000	12.74	2.93	0	0	0	0	23.01
2	UNIPHOS ENTERPRISES LIMITED	25337060	5.91	2000000	7.89	0.47	0	0	0	0	5.91
3	SHILPA R SHROFF	1445061	0.34								0.34
4	SHILPA SHROFF	1437780	0.34								0.34
5	JYOTSNA J BHATT	448750	0.10								0.10
6	JYOTINDRA MANSHANKAR BHATT	393580	0.09								0.09
7	VARUN JAIDEV SHROFF	14000	0.00								0.00
8	TANIA JAIDEV SHROFF	6000	0.00								0.00
9	PRADIP N SAGAR	5930	0.00								0.00
10	SHAILA SHASHIKUMAR SHROFF	4080	0.00								0.00
11	MEKHALA VIKRAM SHROFF	2000	0.00								0.00
12	SHAILA SHASHIKUMAR SHROFF	1000	0.00								0.00
	Total	127728341	29.80	14565000	11.40	3.40	0	0	0	0	29.80

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

(l) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (I) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and conv. Securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
1	GOLDMAN SACHS (SINGAPORE) PTE	14716767	3.43					3.43
2	SKAGEN KON-TIKI VERDIPAPIRFOND	10294488	2.40					2.40
3	GOVERNMENT PENSION FUND GLOBAL	8075184	1.88					1.88
4	HDFC STANDARD LIFE INSURANCE CO LTD	7743671	1.81					1.81
5	INVESCO PERPETUAL GLOBAL EQUITY FUND	6159873	1.44					1.44
6	CITIBANK INTERNATIONAL PLC AS DEPOSITORY	6008332	1.40					1.40
7	RELIANCE CAPITAL TRUSTEE CO LTD	5642674	1.32					1.32
8	MERRILL LYNCH CAPITAL MARKETS ESPANA S A	5187026	1.21					1.21
9	ICICI PRUDENTIAL LIFE INSURANCE CO LTD	5139047	1.20					1.20
10	MACQUARIE FUND SOLUTIONS	5093930	1.19					1.19
11	LSV EMERGING MARKETS EQUITY FUND LP	4393200	1.03					1.03
	TOTAL	78454192	18.30					18.30

(I) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (I) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
	NIL							

(I) (d) Statement showing details of locked-in shares

Sr.No.	Name of the shareholder	Category of shareholders Promoters / Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N I L			

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(II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				As on : 30.06.2014
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
1	GDR	30712	307120	0.07

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(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "**promoter/promoter group**" are in excess of 1% of the total number of shares

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				As on : 30.06.2014
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
N I L				

