

Format of holding of specified securities

Name of Listed Entity: **UPL LIMITED**

Scrip Code : **512070** Name of Scrip : **UPL** Class of Security : **Equity**

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) (c)

a.If under 31 (1)(b) then indicate the report for quarter ending : **31.12.2015**

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes*	
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class Equity	Class eg: Y	Total								
(A)	Promoter & Promoter Group	13	127738341	0	0	127738341	29.80	127738341	0	127738341	29.80	0	0.00	0	0.00	1664364	1.30	127738341
(B)	Public	60157	300865933	0	0	300865933	70.20	300865933	0	300865933	70.20	0	0.00	0	0.00	0	0.00	293607163
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	60170	428604274	0	0	428604274	100.00	428604274	0	428604274	100.00	0	0.00	0	0.00	1664364	0.39	421345504

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)			
								Class Equity	Class eq: Y	Total										
(I) Indian																				
(a) Individuals/H.U.F																				
i) Shilpa R Shroff	AALPS9282P	2	2882841			2882841	0.67	2882841	0	2882841	0.67	0	0	0	0.00	0	0.00	0	0.00	2882841
ii) Jyotsna J Bhatt	ABWBP6570H	1	448750			448750	0.10	448750	0	448750	0.10	0	0	0	0.00	0	0.00	0	0.00	448750
iii) Jyotindra Manshankar Bhatt	ABWBP6569J	1	393580			393580	0.09	393580	0	393580	0.09	0	0	0	0.00	0	0.00	0	0.00	393580
vi) Varun Jaidev Shroff	BJAPS5937A	1	14000			14000	0.00	14000	0	14000	0.00	0	0	0	0.00	0	0.00	0	0.00	14000
v) Rajju D Shroff	AALPS9281Q	1	10000			10000	0.00	10000	0	10000	0.00	0	0	0	0.00	0	0.00	0	0.00	10000
vi) Tania Jaidev Shroff	BJAPS5795L	1	6000			6000	0.00	6000	0	6000	0.00	0	0	0	0.00	0	0.00	0	0.00	6000
vii) Pradip N Sagar	AALPS0010M	1	5930			5930	0.00	5930	0	5930	0.00	0	0	0	0.00	0	0.00	0	0.00	5930
viii) Shaila Shashikumar Shroff	AAVPS0053L	2	5080			5080	0.00	5080	0	5080	0.00	0	0	0	0.00	0	0.00	0	0.00	5080
ix) Mekhala Vikram Shroff	BIZPS7625H	1	2000			2000	0.00	2000	0	2000	0.00	0	0	0	0.00	0	0.00	0	0.00	2000
(b) Central/State Government(s)																				
(c) Financial Institutions/Banks																				
(d) Any Other (Specify)																				
a) Bodies Corporate																				
i) Nerka Chemicals Pvt Ltd	AAACN9337Q	1	98633100			98633100	23.01	98633100	0	98633100	23.01	0	0	0	0.00	1656364	1.68	98633100		
ii) Uniphos Enterprises Limited	AAACU3440P	1	25337060			25337060	5.91	25337060	0	25337060	5.91	0	0	0	0.00	8000	0.03	25337060		
Sub- Total (A)(1)		13	127738341	0.00	0.00	127738341	29.80	127738341	0	127738341	29.80	0	0	0.00	0	0.00	1664364	1.71	127738341	
(2) Foreign																				
Individuals (Non-Resident Individuals/ Foreign Individuals)																				
(a) Individuals																				
(b) Government																				
(c) Institutions																				
(d) Foreign Portfolio Investor																				
(e) Any Other (Specify)																				
Sub- Total (A)(2)																				
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		13	127738341	0	0	127738341	29.803329	127738341	0	127738341	29.80	0	0	0	0	0	1664364	1.71	127738341	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3)	Non- Institutions																		
(a)	Individuals -																		
	i.Individual shareholders holding nominal share capital up to Rs.2 lakhs.	55890	27479473	0	0	27479473	6.41	27479473	0	27479473	6.41	0	0.00	0	0.00			22804038	
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.	19	3612141	0	0	3612141	0.84	3612141	0	3612141	0.84	0	0.00	0	0.00			3400141	
(b)	NBFCs registered with RBI																		
(c)	Employee Trust																		
(d)	Overseas Depositories (holding DRs) (balancing figure) (GDR)	3	257120	0	0	257120	0.06	257120	0	257120	0.06	0	0.00	0	0.00			249120	
(e)	Any Other (specify)																		
(f)	Overseas Corporate Bodies	4	6740	0	0	6740	0.00	6740	0	6740	0.00	0	0.00	0	0.00				
(g)	Bodies Corporate	1174	10593785	0	0	10593785	2.47	10593785	0	10593785	2.47	0	0.00	0	0.00			10514225	
i)	ICICI Prudential Life Insurance Co	AAACI7351P	1	4967405	0	0	4967405	1.16	4967405	0	4967405	1.16	0	0.00	0	0.00			4967405
ii)	HDFC Standard Life Insurance Co	AAACH8755L	1	4839777	0	0	4839777	1.13	4839777	0	4839777	1.13	0	0.00	0	0.00			4839777
(h)	Individual NRI- Rep.- Non Rep.	2367	5254747	0	0	5254747	1.23	5254747	0	5254747	1.23	0	0.00	0	0.00			3019212	
(i)	Foreign Bodies	2	84083	0	0	84083	0.02	84083	0	84083	0.02	0	0.00	0	0.00			84083	
(j)	Trust	12	874629	0	0	874629	0.20	874629	0	874629	0.20	0	0.00	0	0.00			874629	
	Sub- Total (B)(3)	59473	57969900	0	0	57969900	13.53	57969900	0	57969900	13.53	0	0	0	0			50752630	
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	60157	300865933	0	0	300865933	70.20	300865933	0	300865933	100.00	0	0	0	0			293607163	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:
Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

