

**UPL LIMITED**  
**SHAREHOLDING PATTERN AS ON 30.09.2015**

**(I) (a) Statement showing Shareholding Pattern**

BSE SCRIP CODE : 512070		Name of Scrip : UPL Ltd		Class of Security : Equity		Quarter Ended : 30.09.2015		
NSE SYMBOL : UPL								
Category Code	<b>Partly paid up shares</b>			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<b>Outstanding Convertible Securities</b>			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
	<b>Warrants</b>			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group			0	0.00	0.00		
	Held by Public			0	0.00	0.00		
	<b>Total</b>			<b>0</b>	<b>0.00</b>	<b>0.00</b>		
Category Code	<b>Total</b>							
	conversion of warrants and convertible securities							
	Category of Shareholders	Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares As a percentage of (A+B)1 (A+B+C)		Shares pledged or otherwise encumbered Number of Shares As a %	
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )=(VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>							
<b>(1)</b>	<b>Indian</b>							
(a)	Individuals/H.U.F	10	3758181	3758181	0.88	0.88	0	0.00
(b)	Central/State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	2	123970160	123970160	28.94	28.92	8229531	6.64
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	<b>12</b>	<b>127728341</b>	<b>127728341</b>	<b>29.82</b>	<b>29.80</b>	<b>8229531</b>	<b>6.44</b>
<b>(2)</b>	<b>Foreign</b>							
(a)	Non Resident Individuals/ Foreign Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Qualified Foreign Investor							
(e)	Any Other (specify)							
	Sub_Total (A)(2)							
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	<b>12</b>	<b>127728341</b>	<b>127728341</b>	<b>29.82</b>	<b>29.80</b>	<b>8229531</b>	<b>6.44</b>

BSE SCRIP CODE : 512070		Name of Scrip : UPL Ltd		Class of Security : Equity		Quarter Ended : 30.09.2015		
NSE SYMBOL : UPL								
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1	(A+B+C)	Number of Shares	As a %
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )=(VIII)/(IV)*100
<b>(B) Public Shareholding<sup>3</sup></b>								
<b>(1) Institutions</b>								
(a)	Mutual Fund/UTI	114	40124598	40124598	9.37	9.36		
(b)	Financial Institutions/Banks	15	392821	392821	0.09	0.09		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds							
(e)	Insurance Companies	8	2081954	2081954	0.49	0.49		
(f)	Foreign Institutional Investors	421	159011541	158970041	37.12	37.10		
(g)	Foreign Venture Cap. Inv							
(h)	Qualified Foreign Investor							
(i)	Any Other (specify)							
	Foreign Portfolio-Corp	147	45797885	45797885	10.69	10.69		
	<b>Sub-Total (B)(1)</b>	<b>705</b>	<b>247408799</b>	<b>247367299</b>	<b>57.76</b>	<b>57.72</b>	<b>N . A.</b>	<b>N . A.</b>
<b>(2) Non Institutions</b>								
(a)	Bodies Corporate	1175	17861840	17782280	4.17	4.17		
(b)	Individuals							
	i) Holding nominal share capital upto Rs. 1 lakh	51819	25216763	20466513	5.89	5.88		
	ii) Holding nominal share capital in excess of Rs. 1 lakh.	37	4795351	4583351	1.12	1.12		
(c)	Qualified Foreign Investor							
(d)	Any Other(specify)							
	Overseas Corporate Bodies	4	6740	0	0.00	0.00		
	Non Resident Individuals	2228	5206452	2939322	1.22	1.21		
	Foreign Bodies	3	122868	122868	0.03	0.03		
	<b>Sub-Total (B)(2)</b>	<b>55266</b>	<b>53210014</b>	<b>45894334</b>	<b>12.42</b>	<b>12.41</b>	<b>N . A.</b>	<b>N . A.</b>
	Total Public shareholding							
	<b>(B)=(B)(1)+(B)(2)</b>	<b>55971</b>	<b>300618813</b>	<b>293261633</b>	<b>70.18</b>	<b>70.14</b>		
	TOTAL (A)+(B)	55983	428347154	420989974	100.00	99.94	<b>8229531</b>	<b>1.92</b>
<b>(C) Shares held by Custodians and against which Depository Receipts have been issued</b>								
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
2	Public	3	257120	249120	0.06	0.06	<b>N . A.</b>	<b>N . A.</b>
	<b>GRAND TOTAL (A)+(B)+( C)</b>	<b>55986</b>	<b>428604274</b>	<b>421239094</b>		<b>100.00</b>	<b>8229531</b>	<b>1.92</b>

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**(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

Sr.No.	Name of the shareholder	Details of shares held		Encumbered shares (*)			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital)
		No. of shares held	As a % of grand total (A)+(B)+( C)	Number	As a Percentage	As a % of grand total (A)+(B)+( C) of sub-clause ( I ) (a)	No. of warrants held	As a % total No. of warrants of the same class	No. of Convertible securities held	As a % total No. of convertible securities of the same class	
( I )	( II )	( III )	( IV )	( V )	( VI )	( VII )	( VIII )	( IX )	( X )	( XI )	( XII )
1	NERKA CHEMICALS PVT LTD	98633100	23.01	8221531	8.34	1.92	0	0	0	0	23.01
2	UNIPHOS ENTERPRISES LIMITED	25337060	5.91	8000	0.03	0.00	0	0	0	0	5.91
3	SHILPA R SHROFF	1445061	0.34								0.34
4	SHILPA SHROFF	1437780	0.34								0.34
5	JYOTSNA J BHATT	448750	0.10								0.10
6	JYOTINDRA MANSHANKAR BHATT	393580	0.09								0.09
7	VARUN JAIDEV SHROFF	14000	0.00								0.00
8	TANIA JAIDEV SHROFF	6000	0.00								0.00
9	PRADIP N SAGAR	5930	0.00								0.00
10	SHAILA SHASHIKUMAR SHROFF	4080	0.00								0.00
11	MEKHALA VIKRAM SHROFF	2000	0.00								0.00
12	SHAILA SHASHIKUMAR SHROFF	1000	0.00								0.00
	<b>Total</b>	<b>127728341</b>	<b>29.80</b>	<b>8229531</b>	<b>6.44</b>	<b>1.92</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>29.80</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011.

**(l) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+(C) indicated in statement at para (I) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrant and conv. Securities) as a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
1	GOVERNMENT PENSION FUND GLOBAL	11165865	2.61					2.61
2	SKAGEN KON-TIKI VERDIPAPIRFOND	9971688	2.33					2.33
3	INVESCO ASIAN EQUITY FUND	5968042	1.39					1.39
4	GOVERNMENT OF SINGAPORE	5416244	1.26					1.26
5	ICICI PRUDENTIAL LIFE INSURANCE CO LTD	4990510	1.16					1.16
6	HDFC STANDARD LIFE INSURANCE CO LTD	4710269	1.10					1.10
7	DIMENSIONAL EMERGING MARKETS VALUE FUND	4656513	1.09					1.09
	<b>TOTAL</b>	<b>46879131</b>	<b>10.94</b>					<b>10.94</b>

**(l) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares**

Sr.No.	Name(s) of the shareholder(s) and the Persons Acting in Concert ( PAC ) with them	Number of shares	Shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+( C) indicated in statement at para ( I ) (a) above}	Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) : a % of diluted share capital
				No. of warrants held	As a % total No. of warrants of same class	No of convertible securities held	% w.r.t total No. of convertible securities of the same class	
	<b>NIL</b>							

**(l) (d) Statement showing details of locked-in shares**

Sr.No.	Name of the shareholder	Category of shareholders Promoters / Public	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e. Grand Total of (A)+(B)+( C) indicated in Statement at para (l)(a) above}
	<b>NIL</b>			

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### (II) (a) Statement showing details of Depository Receipts (DRs)

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				Quarter ended : 30.09.2015
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a <b>percentage</b> of total number of shares {i.e.Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
1	GDR	25712	257120	0.06

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### (II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "**promoter/promoter group**" are in excess of 1% of the total number of shares

BSE SCRIP CODE : 512070 NSE SYMBOL : UPL				Quarter ended : 30.09.2015
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+( C) indicated in Statement at para (I)(a) above
<b>N I L</b>				

